



Univest Securities, Inc. Member: FINRA, MSRB, SIPC

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Day Trading Disclosure Statement

I, _____, have opened account with Investment Consultant _____ at Univest Securities, Inc. I may do day trading in my account. I understand the following factors with day trading strategy:

- Day trading can be extremely risky. Be cautious of claims of large profits from day trading.
- Day trading requires knowledge of securities markets.
- Day trading requires knowledge of a firm's operations.
- Day trading will generate substantial commissions, even if the per trade cost is low.

Univest Securities, Inc. does not promote day trading strategy. My day trading activities is totally my own choice and responsibility.

A/C #:

Date:

Account holder name:

Signature:

Or,

I do not intend to do day trading in this account.

Signature: