

## Option Exercise Form

I have elected to exercise the following stock options granted to me by

("COMPANY"): \_\_\_\_\_  
 \_\_\_\_\_ Shares at \$ \_\_\_\_\_ per share (Grant date: \_\_\_\_\_ ) ISO \_\_\_ NQ \_\_\_  
 \_\_\_\_\_ Shares at \$ \_\_\_\_\_ per share (Grant date: \_\_\_\_\_ ) ISO \_\_\_ NQ \_\_\_  
 \_\_\_\_\_ Shares at \$ \_\_\_\_\_ per share (Grant date: \_\_\_\_\_ ) ISO \_\_\_ NQ \_\_\_

Total = \_\_\_\_\_ Shares at \$ \_\_\_\_\_ + \$ \_\_\_\_\_ = \$ \_\_\_\_\_  
 Cost of Shares Taxes Due Total Cost

**Purchase of Shares with Proceeds of my Sale** (No funds are required to exercise this option).

I am selling \_\_\_\_\_ shares of (**COMPANY SYMBOL**) \_\_\_\_\_ to cover the cost basis of purchasing the stock above. I hereby authorize Apex Clearing Corporation ("Apex") to pay **COMPANY** a total of \$ \_\_\_\_\_ (of which \$ \_\_\_\_\_ is for taxes) for payment of my option(s). This authorization may not be revoked.

**Please have the remaining sale proceeds:**

\_\_\_\_\_ Left in my account at Apex.  
 \_\_\_\_\_ Mailed to me at my address of record.  
 \_\_\_\_\_ Other (Please specify)

**Please have any remaining stock shares:**

\_\_\_\_\_ Held in street name at Apex.  
 \_\_\_\_\_ Mailed to me at my address of record.

**Employee Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

Print Name: \_\_\_\_\_ SS # or Acct # \_\_\_\_\_

Office Phone \_\_\_\_\_ Home Phone \_\_\_\_\_

-----**For Office Use Only**-----

**COMPANY Validation:** On behalf of the company I certify that this client is vested in the above-mentioned shares and that we have instructed our Transfer Agent to send the certificate to Apex Clearing Corporation @ 1981 Marcus Ave, 1st Floor, Lake Success, NY 11042 and to issue the above-mentioned shares in the name of:

1. \_\_\_\_\_ Apex.
2. \_\_\_\_\_ The employee.

This certificate is free of stop orders and restrictions. (Please initial \_\_\_\_\_).

\_\_\_\_\_  
 (Signature) (Title) (Date)