

**PROPOSED SALE OF SECURITIES  
UNDER RULE 144  
SELLER'S QUESTIONNAIRE**

Seller's Name \_\_\_\_\_

Business Address \_\_\_\_\_

Tel: \_\_\_\_\_

Residence Address \_\_\_\_\_

Tel: \_\_\_\_\_

Social Security No. \_\_\_\_\_

IRS Identification No.  
(if any) \_\_\_\_\_

**Securities to be sold**

1. Company Name \_\_\_\_\_

2. Exact title of Class \_\_\_\_\_

3. Number of shares  
to be sold (or face  
amount if debt securities) \_\_\_\_\_

4. Certificate Number(s) \_\_\_\_\_

5. Date Acquired \_\_\_\_\_

6. Amount of Consideration  
Paid \_\_\_\_\_

7. Nature of Consideration  
Paid (e.g. cash, check,  
promissory note, other  
obligation, exchange for  
other securities or  
property, etc.) describe.  
\_\_\_\_\_  
\_\_\_\_\_

8. Date full consideration in cash paid \_\_\_\_\_
9. Give a complete description of how the Securities were acquired \_\_\_\_\_
10. (a) From whom were the Securities acquired: \_\_\_\_\_  
 (b) If from a person other than the Company, state that person's relationship to you and to the Company, if any \_\_\_\_\_
11. Were the securities acquired pursuant to a written agreement? \_\_\_\_\_ If so, attach a copy.
12. Did you at any time since you acquired the Securities.  
 (a) have the right or option to sell any securities of the Company? \_\_\_\_\_ If so, state the class of securities subject to such right or option and the period during which such right or option was in effect giving the exact dates. \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
13. Did you ever receive a stock split or stock dividend in connection with the Securities? \_\_\_\_\_ If so, give the dates you received the split or dividend and the amount of the Securities received: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**Personal Information**

- | 1. Are you any of the following:  | <u>Yes</u> | <u>No</u> |
|---|------------|-----------|
| (a) The owner, either directly or indirectly as a beneficiary, nominee or other intermediary, of 10% or more of any class of securities (including convertible notes or debentures) of the Company? | _____      | _____     |
| (b) An officer of the Company?  | _____      | _____     |
| (c) A director (or partner or trustee) of the Company?  | _____      | _____     |
| (d) A trustee or other fiduciary holding more than 10% of any class of securities of the Company for the benefit of others?   | _____      | _____     |
| (e) A creditor of the Company with rights to restrict the Company's activities?   | _____      | _____     |

2. Do any of your spouse, children or other relatives living in your household have any relationship to the Company described in question 1? \_\_\_\_\_ If so, name the person, his/ her relationship to you and his/ her relationship to the Company: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
3. Did you, your spouse, children or other relatives living in your household have at any time in the past any relationship to the Company described in question 1? \_\_\_\_\_ If so, name the person, his/ her relationship to you, his/ her relationship to the Company and the date his/ her relationship with the Company terminated? \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
4. Give the names and addresses of any corporation in which you, your spouse, your children or any other relatives living in your house hold collectively own 10% or more of any class of equity securities or have a 10% equity interest: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
5. Give the names and addresses of any partnerships, trusts and estates in which you, your spouse, your children and any other relative living in your household collectively own a 10% or greater beneficial interest: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
6. Give the names and addresses of any partnerships, trusts and estates in which you, your spouse, your children and any other relative living in your household are either a trustee, an executor or an administrator: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
7. List all of the securities of the Company beneficially owned by you, your spouse, your children, any other relative living in your household or any entity you listed in response to questions 4, 5 and 6 above:

Number of Shares	Class of Securities	Name of Record Owner	Do the Securities Bear Restrictive Legends?	
			Yes	No
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

8. List all of the sales of the Securities made during the past three months by or for the account of any person or entity described in questions 2 through 6 above:
 

<u>Seller</u>	<u>Amount of Securities</u>	<u>Date of Sale</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

9. If you are acting or intend to act in concert with any person or entity for the purpose of selling the Securities or if you have an agreement with any person or entity to act in concert in connection with the sale of the Securities, give the name of such person or entity, describe your relationship to such person and indicate whether such person has or did have any of the relations to the Company described in question 1:

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10. If you listed any of your children in response to any of the questions 2 through 9, indicate whether they are living in your household:

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The undersigned hereby certifies that the information set forth above is true and complete to the best of the knowledge of the undersigned; and the undersigned agrees to notify Penson Financial Services, Inc. (Penson) immediately if he/ she should subsequently learn that any of the information supplied in this questionnaire is inaccurate or incomplete.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date